

**UNITED STATES DISTRICT COURT  
DISTRICT OF DELAWARE**

ALTSHARES EVENT-DRIVEN ETF, on  
behalf of themselves and all others similarly  
situated,

Plaintiff,

v.

FOCUS FINANCIAL PARTNERS, INC.,  
RUEDIGER ADOLF, RAJINI SUNDAR  
KODIALAM, JAMES D. CAREY, FAYEZ S.  
MUHTADIE, GEORGE S. LEMIEUX,  
ELIZABETH R. NEUHOFF, JOSEPH  
FELICIANI, JR., JAMES SHANAHAN,  
LEONARD CHANG, and J. RUSSELL  
MCGRANAHAN,

Defendants.

Case No. 23-cv-1466-MN

CLASS ACTION

**ORDER GRANTING THE MOTION OF THE INSTITUTIONAL  
INVESTORS FOR APPOINTMENT AS LEAD PLAINTIFF  
AND APPROVAL OF SELECTION OF COUNSEL**

Having considered the Motion of Kryger Event Fund Ltd. and Kryger Enhanced Fund Ltd., ODS Capital LLC, and AltShares Event-Driven ETF and AltShares Merger Arbitrage ETF (collectively, the “Institutional Investors”) for Appointment as Lead Plaintiff and Approval of Selection of Counsel (the “Motion”) (D.I. 11), the opening brief in support of the Motion (D.I. 12), the declaration of Michael J. Farnan in support thereof (D.I. 13), and all other pleadings and argument submitted to this Court, and good cause appearing therefor,

**IT IS HEREBY ORDERED THAT:**

1. The Institutional Investors’ Motion (D.I. 11) is **GRANTED**.
2. The Institutional Investors are **APPOINTED** to serve as Lead Plaintiff pursuant to Section 21D(a)(3)(B) of the Securities Exchange Act of 1934, 15 U.S.C. § 78u-4(a)(3)(B), as

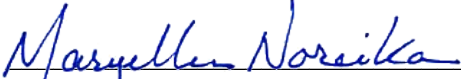
amended by the Private Securities Litigation Reform Act of 1995, in the above-captioned action and all related actions consolidated pursuant to paragraph 4 of this Order.

3. The Institutional Investors' selection of Counsel is **APPROVED**, and Saxena White P.A. and Entwistle & Cappucci LLP are **APPOINTED** to serve as Lead Counsel for the putative Class and Farnan LLP is **APPOINTED** to serve as Liaison Counsel for the putative Class.

4. Pursuant to Rule 42(a) of the Federal Rules of Civil Procedure, any pending, previously or subsequently filed, removed, or transferred actions that are related to the claims asserted in the above-captioned action are **CONSOLIDATED** for all purposes.\*\*

5. This Action shall be captioned "*In re Focus Financial Partners, Inc. Securities Litigation.*"

IT IS SO ORDERED this 21st day of March 2024.

  
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The Honorable Maryellen Noreika  
United States District Judge

\*\* When an action that properly belongs as part of *In re Focus Financial Partners, Inc. Securities Litigation*, C.A. No. 23-1466-MN, is hereafter filed in the Court, removed to this Court, reassigned to the Court, or transferred here from another court, this Court requests the assistance of counsel in calling to the attention of the clerk of the Court the filing, removal, reassignment, or transfer of any case that might properly be consolidated as part of *In re Focus Financial Partners, Inc. Securities Litigation*, C.A. No. 23-1466-MN, and counsel are to assist in assuring that counsel in subsequent actions receive notice of this order.